



**PUBLIC PROTECTION CABINET  
DEPARTMENT OF FINANCIAL INSTITUTIONS**

**Andy Beshear**  
Governor

**Ray Perry**  
Deputy Secretary

500 Mero St.2SW19  
Frankfort, KY 40601  
Phone: (502) 573-3390  
Fax: (502) 573-8787  
<http://kfi.ky.gov>

**Kerry B. Harvey**  
Secretary

**Charles A. Vice**  
Commissioner

**DFI REMINDS FIRMS TO CONTACT REGULATORS WITH ISSUES RELATED TO  
SOLARWINDS BREACH**  
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The Kentucky Department of Financial Institutions (DFI) reminds financial service registrants, particularly state-registered investment advisers and intrastate broker-dealers, to notify their primary securities regulator of any known issues or concerns related to the recent SolarWinds cybersecurity incident.

In December 2020, the federal government reported that SolarWinds was the victim of a security breach. SolarWinds provides updating and monitoring software to numerous government agencies and private companies. The breach caused SolarWinds Orion Network Management Products to transmit malware to many of the company's clients, including federal, state and local governments, as well as other private sector entities.

The U.S. Department of Homeland Security's Cybersecurity & Infrastructure Security Agency has issued an alert that describes the threat and provides guidance on how to address it. The alert is available here: <https://www.cisa.gov/supply-chain-compromise>.

The DFI is issuing this reminder to raise awareness among state registrants and to provide information and resources to help those affected to recover quickly and protect their clients and colleagues. DFI has also added a [Cybersecurity Resources page](#) to its website with additional information, which will be updated as new resources become available.

Any firm with known malicious versions of the SolarWinds Orion software should contact its primary regulator. State-registered investment advisers and intrastate broker-dealers in Kentucky should contact the Department of Financial Institutions at 502-573-3390 or [kfi@ky.gov](mailto:kfi@ky.gov).