

Top 5 Ways DFI Helps Kentuckians Make Wise Financial Decisions & Prevents Financial Harm & Abuse

- 1 Oversees all state-licensed, registered, or chartered financial companies operating in Kentucky.
- 2 Conducts periodic, on-site examinations of regulated entities to ensure compliance with statutes and regulations.
- 3 Performs thorough investigations into consumer and investor complaints, resulting in millions of dollars in restitution ordered.
- 4 Investigates possible fraud regarding financial transactions, resulting in legal action to remove bad actors from the industry.
- 5 Partners with state and federal agencies to provide financial literacy programs to help consumers and investors make wise financial decisions and avoid potential harm.

Apply Now

Visit <https://personnel.ky.gov> and click "Careers." To apply for job postings, you must create a profile. Once you have an account, log in to access your profile and use job seeker tools. You can also use the QR code below to find open positions on DFI's website.



Contact DFI

-  500 Mero St., 2SW19 Frankfort, KY 40601
-  KFI.ky.gov
-  KFI@ky.gov
-  800-223-2579



DISCOVER

A REWARDING CAREER

Kentucky Department of
Financial Institutions





EXAMINERS

Generally requires a bachelor's degree in:

- Accounting
- Business Administration
- Economics
- Finance

A juris doctorate degree or industry experience may substitute. Additional requirements for examiners above entry level.



OTHER POSITIONS

- Administrative and technical support staff
- Managers
- Attorneys
- Fiscal specialists
- Human resources specialists
- Internships

Education and experience requirements vary based on the position.

About DFI

The Department of Financial Institutions (DFI) is the state agency that supervises the financial services industry in Kentucky. DFI employs approximately 100 people in highly skilled positions, many of whom are examiners. More than half of DFI's staff work in the field, traveling to conduct examinations and investigations.

Why Choose DFI?

- Help Kentuckians make wise financial decisions.
- Prevent financial harm and abuse.
- Diverse, skilled workforce.
- Strong teamwork ethic.
- Remote and hybrid work available.
- State government holidays, insurance, and other benefits.



DFI consists of three sections which charters, licenses, and registers various financial institutions, securities firms, and professionals.



DEPOSITORY

- State-Chartered Banks
- State-Chartered Credit Unions
- Trust Companies



NON-DEPOSITORY

- Consumer Loan Companies
- Mortgage Loan Companies
- Mortgage Loan Brokers
- Mortgage Loan Originators
- Deferred Deposit Companies & Check Cashers
- Money Transmitters
- Student Education Loan Servicing License



SECURITIES

- Broker-Dealers
- Broker-Dealer Agents
- Investment Advisers
- Investment Adviser Representatives
- Issuer Agents
- Securities Registration
- Securities Exemptions