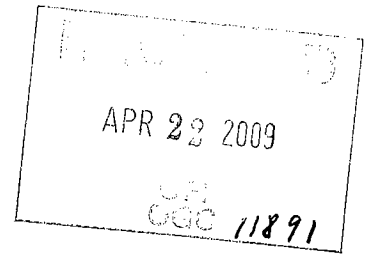


**COMMONWEALTH OF KENTUCKY
PUBLIC PROTECTION CABINET
DEPARTMENT OF FINANCIAL INSTITUTIONS
AGENCY CASE NO. 2009-AH-020**



IN THE MATTER OF:
GRIFFIN FINANCIAL MORTGAGE, LLC

AGREED ORDER

BACKGROUND AND FACTUAL FINDINGS

1. Griffin Financial Mortgage, LLC is licensed by the Department of Financial Institutions (“DFI”) to conduct the business of a mortgage loan broker, at 1701 River Run #308, Fort Worth, Texas 76107, under mortgage loan company license #MB19254.

2. On October 30, 2008, an examination was conducted into the condition, workings and affairs of the Respondent in order to determine whether there were any violations of law or any unauthorized unsafe practices or any failure to keep or have correct any required books and records.

3. In the course of the examination it was discovered that the Respondent violated Chapter 286.8 of the Kentucky Revised Statutes by employing an unregistered individual to originate mortgage loans on Kentucky properties.

4. The Respondent has since corrected the violation by having the unregistered individual properly registered to conduct mortgage loan business in Kentucky.

AGREEMENT AND ORDER

The Department of Financial Institutions and the Respondent, in the interest of economically and efficiently resolving the violation described in the foregoing Background and Factual Findings, agree as follows:

1. While denying any intent to violate the provisions of KRS Chapter 286.8, the Respondent consents to the assessment of a fine in the amount of two thousand five hundred dollars (\$2,500) dollars.

3. The fine shall be paid in the form of a cashier's check or money order payable to the **Kentucky State Treasurer** and mailed to the attention of Sally Mooney at DFI.

Respondent agrees that by entering into this Agreement it waives its right to a hearing, at which it would be entitled to be represented by counsel, to confront and cross examine witnesses for DFI, and to present evidence on its own behalf. The Respondent further agrees and acknowledges the jurisdiction of DFI over this matter and that this Agreement is a matter of public record and may be disseminated as such.

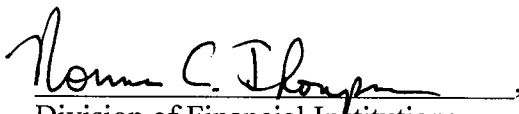
IT IS SO ORDERED on this the 1st day of May, 2009.



Charles A. Vice
Commissioner

Consented to:


This 30 day of April, 2009



Division of Financial Institutions
Department of Financial Institutions

Consented to:

This 17th day of April, 2009



Robert Griffin
President
Griffin Financial Mortgage, LLC