

Kentucky Department of Financial Institutions
Mayo-Underwood Building
500 Mero Street, 2 SW 19
Frankfort, Kentucky 40601

NOTIFICATION OF CESSATION OF BUSINESS,
LOCATION OF PHYSICAL RECORDS AND
RECORDS CUSTODIAN DISCLOSURE

(To be completed by Principal Licensee)

1. LICENSE NUMBER REQUESTING SURRENDER:

2. LOCATION OF PHYSICAL RECORDS:

3. RECORDS CUSTODIAN:

a. Name:

b. Address:

c. Phone:

d. Email address:

NOTE: This information must be kept current for 5 years after a surrender/closure for record retention purposes.

Form issued: 4/2020