INSTRUCTIONS TO FORM NF

Form NF should be used for investment company initial filings, renewals, amendments and sales reports. This form should be used for all filing options, including definite and indefinite filings.

ITEM 1. Name of Issuer: State the name of the investment company for which the notice filing is being made. Do not use the name of the broker-dealer or distributor.

ITEM 3. Amendment Filings: Provide the applicable information. However, it may not be necessary to complete the entire form. Amendments include changes in the correspondent or fund name or a new fiscal year end. Changes such as reorganizations should be reported under "Other", with a written explanation. If in doubt about the proper category, use "Other" and provide an explanation.

ITEM 4. Description of Securities: This information should be provided at the level necessary in the given state. For example, if the state is a "trust level" state, portfolio and class information may not be required. A separate Form NF should be filed for each portfolio or class, to the extent required by the given state. Attach a list of all portfolios or classes for "trust level" states. For states whose fees are based on the prospectus, a separate Form NF should be filed for each prospectus and should include a list of all securities listed in the prospectus.

ITEM 6. CIK number (EDGAR): Please provide the Central Index Key Number that will cross-reference the SEC filing. Federal ID Numbers and SEC Registration Numbers: Federal identification numbers are only available for the fund or trust. Provide the Federal ID No. and SEC Registration No. if filing in a jurisdiction that requires these numbers. Fiscal Year: Fiscal year information is not required for unit investment trusts, since the filing period runs concurrently with SEC effectiveness.

ITEM 7. Notice Period: The notice period is established by law, administrative regulation or policy in some jurisdictions. As a result, one or more of the three options provided on the form may not be available in each jurisdiction. In addition, if the issuer elects to begin the notice filing period on the date of SEC effectiveness, the notice period will end on the date that a renewal filing is required.

ITEM 12. Uniform Consent to Service of Process: The uniform consent to service of process form is separate from the Notice Filing Form (Form NF). Issuers shall use the Uniform Consent to Service of Process Form (Form U-2).

PROSPECTUS AND STATEMENT OF ADDITIONAL INFORMATION. In some jurisdictions, you may be required by law, administrative regulation or policy to file the Form NF with a prospectus and/or statement of additional information.

UNIFORM INVESTMENT COMPANY NOTICE FILING

Please Read Instructions Prior To Filling Out Form State File No. _____

Notice to the State/Commonwealth of _____

1. Name of Issuer _____

_____

2. Address _____

_____

3. Type of Filing: (check all that apply)

☐ Open-end Mutual Fund	☐ Unit Investment Trust	☐ Closed-end Mutual Fund
☐ Initial Filing	☐ Renewal Filing	☐ Amendments
☐ Exemption Filing	☐ Termination	☐ Withdrawal
☐ Sales Report	☐ Increase Dollar Amount	

☐ Other (specify) _____

For name changes, provide former name: _____

_____

For amendments, specify nature of the change(s): _____

4. Description of Securities(e.g. Shares of beneficial interest):_____

_____

Name of Fund_____

_____

_____

Portfolio(s) _____

_____

_____

Class(es) _____

_____

_____

Fiscal Year End of the issuer (if applicable) _____

Are all of the securities described on this form offered in one prospectus? Yes[] No[]

5. Contact Person:

Name _____ Firm _____

Address _____

_____

City_____ State _____

ZIP _____ Telephone _____

FAX: _____ E-Mail _____

6. CIK# (EDGAR) _____ Federal ID# (if applicable) _____

Broker-Dealer: _____ SEC Registration No.(if applicable) _____

Broker Dealer's CRD No. _____ State of Incorporation/Charter _____

Portfolio _____

Class _____

7. [] Notice Period: Beginning Date:___/___/___ Ending Date: ___/___/___

[] The issuer elects to have its notice filing made effective upon filing._____

[] The issuer elects to have its notice filing period begin with SEC effectiveness and thereby agrees to provide the above state prompt notice of such effectiveness.

8. Notice Fee: $ _____ [] No Filing Fee Required

Sales Report Fee (if applicable) $ _____

Other Fee $ _____ Explain _____

9. To determine the basis used for calculating the filing fee, provide the following:

[] Indefinite Amount [] Definite Amount $_____

[] _____ Exemption Filing [] Net Assets: $_____

10. For filings to increase the dollar amount of securities reported during the notice period, provide the following:

(1) Previous Amount of Securities _____

(2) Amount of Increase _____

(3) Cumulative Amount of Securities_____

11. SALES REPORT [To the extent not applicable, place N/A in the blank(s)]

Period Covered: Beginning date: ___/___/___ Ending: ___/___/___

Balance at beginning of period $ _____

Additional Increases during period $ _____

Amount available for sale $ _____

Sales during the period $ _____

Unsold balance at end of period $ _____

12. UNIFORM CONSENT TO SERVICE OF PROCESS (select one)

1. [] Was previously filed with this state with respect to the issuer of the securities covered for the securities covered by this notice and is incorporated by reference

2. [] Is attached.

3. [] Not Applicable (explain): _____

13. SIGNATURES

The undersigned under penalties of perjury certifies that s/he has executed this Uniform Investment Company notice on behalf of, and with the authority of the issuer. The undersigned and the issuer represent that the information and statements contained in the notice filed, are current, true and complete to the best of his or her knowledge, information and belief and that any documents submitted with the notice are true copies of the originals, and that the securities covered by this Notice are Covered Securities as defined by Section 18(b)(2) of the Securities Act of 1933.

Dated this ____ day of _____, _____

Month Year

For: _____ By: _____

Name of Issuer Signature of Authorized Agent of Issuer

_____ _____

Print Name Title of Agent for Issuer

The filing of this notice constitutes a binding agreement by the above-named issuer and under the laws of the jurisdiction in which this Form is filed, that upon receipt of a request from such jurisdiction, the issuer will promptly provide a copy of its current prospectus, and/or statement of additional information, and/or any other document, if any, as filed with the Securities and Exchange Commission.